

## 4 IMPLEMENTATION ARRANGEMENTS

### 4.1 Financing Agreement

In order to implement this action, it is envisaged to amend the existing financing agreement with the Government of Kazakhstan.

### 4.2 Indicative Implementation Period

The indicative operational implementation period of this action, during which the activities described in section 3 will be carried out and the corresponding contracts and agreements implemented, is 60 months from the entry into force of the amendment to the Financing Agreement.

Extensions of the implementation period may be agreed by the Commission's responsible authorising officer by amending this Financing Decision and the relevant contracts and agreements.

### 4.3 Implementation Modalities

The Commission will ensure that the EU rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures<sup>4</sup>.

#### 4.3.1 Direct Management (Grants)

Grants: (direct management)

##### (a) Purpose of the grant(s)

Grants may be awarded to support the achievement of Specific Objective 3: Improve legal framework, institutions and systems in place to ensure justice for all and the respect of human rights and improve local self-development.

##### (b) Type of applicants targeted

The potential applicants could be local authorities, public bodies, international organisations, CSOs, and economic operators such as SMEs. In the case of twinning grants, applicants must be EU Member State administrations or their mandated bodies.

#### 4.3.2 Direct Management (Procurement)

A service contract will be signed to support the achievement of Specific Objective 1 and 2: Improve sustainable economic growth in Kazakhstan, including in the area of critical raw materials (CRM) and the development of the Trans-Caspian Transport Corridor (TCTC); improve the greening of the Kazakh economy and compliance of the Kazakh Government with its international and national commitments in the areas of climate change, energy and environment protection. A separate procurement contract will be envisaged for the implementation of Outcome 4.1: Strategic Communications Cooperation Facility.

#### 4.3.3 Indirect Management with entrusted entity

A part of this action may be implemented in indirect management with one or more entities, which will be selected by the Commission's services using the following criteria:

<sup>4</sup> [www.sanctionsmap.eu](http://www.sanctionsmap.eu). Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails.